

01-22-02

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. CMB 0051-0032
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	708-1011
First Inventor	Fernandez
Title	APS Identification Allocation In Communication Networks
Express Mail Label No	EL 874 025 488 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
See 37 CFR 1.27
3. ☒ Specification [Total Pages 29]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 15]
5. Oath or Declaration [Total Pages 4]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- c. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies), or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement [] Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 [] Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application Information Examiner

Group / Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name	William M. Lee, Jr.				
	LEE, MANN, SMITH, MCWILLIAMS, SWEENEY & OHILSON				
Address	P. O. Box 2786				
City	Chicago	State	IL	Zip Code	60690-2786
Country	U.S.A.	Telephone	312-368-1300	Fax	312-368-0034

Name (Print/Type)	William M. Lee, Jr.	Registration No. (Attorney/Agent)	26,935
Signature	<i>William M. Lee, Jr.</i>	Date	January 18, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you use to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

J031 U.S. PTO
01/18/02

10051929-011802

J050 U.S. PTO
02/18/02

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Fernandez
Examiner Name	
Group Art Unit	
Attorney Docket No.	708-1011

TOTAL AMOUNT OF PAYMENT \$780.00**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **12-0913**

Deposit Account Name

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR § 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
101 740 201 370	Utility filing fee		740.00
106 330 206 165	Design filing fee		
107 510 207 255	Plant filing fee		
108 740 208 370	Reissue filing fee		
114 160 214 80	Provisional filing fee		
SUBTOTAL (1)			\$740.00

2. EXTRA CLAIM FEES

	Extra Claims		Fee from below	Fee Paid
Total Claims	7 - 20** =	0	X	0.00
Independent Claims	1 - 3** =	0	X	0.00
Multiple Dependent				

Large Entity Small Entity

Fee	Fee	Fee	Fee Description
Code (\$)	Code (\$)		
103 18 203 9	Claims in excess of 20		
102 84 202 42	Independent claims in excess of 3		
104 280 204 140	Multiple dependent claim, if not paid		
109 84 209 42	** Reissue independent claims over original patent		
110 18 210 9	** Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)			\$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES	Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)		
105 130 205 65	Surcharge - late filing fee or oath			
127 50 227 25	Surcharge - late provisional filing fee or cover sheet			
139 130 139 130	Non - English specification			
147 2,520 147 2,520	For filing a request for <i>ex parte</i> reexamination			
112 920* 112 920*	Requesting publication of SIR prior to Examiner action			
113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action			
115 110 215 55	Extension for reply within first month			
116 400 216 200	Extension for reply within second month			
117 920 217 460	Extension for reply within third month			
118 1,440 218 720	Extension for reply within fourth month			
128 1,960 228 980	Extension for reply within fifth month			
119 320 219 160	Notice of Appeal			
120 320 220 160	Filing a brief in support of an appeal			
121 280 221 140	Request for oral hearing			
138 1,510 138 1,510	Petition to institute a public use proceeding			
140 110 240 55	Petition to revive - unavoidable			
141 1,280 241 640	Petition to revive - unintentional			
142 1,280 242 640	Utility issue fee (or reissue)			
143 460 243 230	Design issue fee			
144 620 244 310	Plant issue fee			
122 130 122 130	Petitions to the Commissioner			
123 50 123 50	Processing fee under 37 CFR § 1.17(a)			
126 180 126 180	Submission of Information Disclosure Statement			
581 40 581 40	Recording each patent assignment per property (times number of properties)			40.00
146 740 246 370	Filing a submission after final rejection (37 CFR § 1.129(a))			
149 740 249 370	For each additional invention to be examined (37 CFR § 1.129(b))			
179 740 279 370	Request for Continued Examination (RCE)			
169 900 169 900	Request for expedited examination of a design application			
Other fee (specify)				
SUBTOTAL (3)				\$40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)	William M. Lee, Jr.	Registration No. (Attorney/Agent)	26,935	Telephone	312-368-1300
Signature	<i>William M. Lee, Jr.</i>	Date	January 18, 2002		

Complete (if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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1051029-011002

Please acknowledge
receipt of the
enclosed
New US Patent Application for

Fernandez et al

APS IDENTIFICATION ALLOCATION IN
COMMUNICATION NETWORKS

Serial No To Be Assigned

Filed Herewith

- 1 Utility Patent Application Transmittal
- 2 Fee Transmittal for FY 2002 (in duplicate)
- 3 Declaration w/Specification (29 pages), including
Abstract and Drawings (15 Sheets)
- 4 Check No. 47600 in the amount of \$780.00
- 5 Express Mail Certificate
- 6 Return Post Card

7. Assignment Cover Sheet w/ Assignment
DUE DATE (If Any): NEW APPLICATION
DATE SENT January 18, 2002
WMLJ tjb

"Express Mail" mailing label number

EL 874 025 488 US

Date of deposit: January 18, 2002

I hereby certify that this paper or fee is being deposited with
the United States Postal Service "Express Mail Post Office
to Addressee" service under 37 CFR 1.10 on the date indi-
cated above and is addressed to the Assistant Commissioner
for Patents, Washington DC 20231

Richard Sensenbrenner

(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

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